



## Kansas Medicaid UM Guideline

**Subject:** Positive Behavior Supports

**Current Effective Date:** 01/26/2017

**Status:** Active

**Last Review Date:** 2/23/18

Description
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The Positive Behavioral Support Services (PBS) are available to any individual under the age 21 based on a determination of medical necessity. PBS services are recommended by a physician or other licensed practitioner (e.g. licensed clinical social worker or psychologist). Under Early Periodic Screening, Diagnosis, and Treatment (EPSDT), these services require prior authorization. The Managed Care Organization (MCO) prior authorization process is not unduly burdensome and the MCO must provide notification of approved services within a reasonable timeframe.

**PBS Assessment:** This functional behavior assessment is conducted by a certified PBS Facilitator. This assessment is critical to the completion of the PBS interventions plan as it determines the function of challenging behaviors. With the child present, the provider conducts interviews, observations, and utilizes specialized PBS tools to gather information necessary to conduct an accurate PBS assessment based on National Standards. This assessment is an ongoing process across service provisions. This assessment occurs in multiple settings (such as home, community, and provider office).

A global risk assessment tool is used to verify medical necessity. The tool is completed by a trained PBS facilitator and then is reviewed by a physician with the appropriate MCO. The global risk assessment tool includes a description of each challenging behavior and the risk that behavior poses to the child and his/her support system.

**Person-Centered Planning:** This planning process is a critical component of PBS services as it prevents and decreases the likelihood of more significant challenging behaviors. This service is provided by a PBS facilitator but is driven by the consumer and their family. Other natural support (such as neighbors, extended family, and faith based leaders) and professional supports are included in this planning process. The person centered planning results in the development of a behavior plan. The person-centered plan includes goals and objectives based on the input from the consumer, family, and PBS facilitator during the planning process consistent with the philosophy of PBS and person-centered treatment description.

**Action Steps:**

1. Incorporating the health and medical/biophysical needs of the individual;
2. Outlining quality of life indicators comparable to the same age individuals without disabilities (such as self-determination, inclusion, friends, fun, variety, access to belongings);
3. Highlighting the strengths and gifts of the individual;
4. Delineate the variety and roles of persons with whom they interact (such as family, friends, neighbors, support providers) and the nature, frequency, and duration of such interactions;
5. Documenting the environments and activities in which they spend time including the level of acceptance and meaningful participation, problematic and successful routines, preferred

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settings/activities, the rate of reinforcement and/or corrective feedback, and the age appropriateness of settings, activities, and materials.

**PBS Treatment:** PBS Treatment is a preventative service which provides goal directed supports and solution-focused interventions intended to achieve identified goals of the objectives as set forth in the consumer's PBS Person Centered Plan. PBS Treatment is a face-to-face intervention with the consumer present; however, family or natural supports may also be involved. The majority of PBS Treatment contacts must occur in the community locations where the consumer lives, works, and/or socializes. PBS interventions are prevention based strategies which include but are not limited to the following:

1. Establishing antecedent interventions;
2. Providing an ongoing assessment of treatment;
3. Cueing and modeling potential positive behavioral alternatives.

#### Clinical Indications

Requires prior authorization through the MCO review of medical necessity documentation (Regulatory Citation: 42 CFR §440.130(c))

#### **Process for Requesting Prior Authorization:**

- PBS Facilitator submits the following to the MCO:
  - PBS Referral of Services form
  - KanCare Service Prior Authorization Form for PBS Services
- MCO approves prior authorization and submits to the Kansas Department for Aging and Disability Services (KDADS) for final approval along with facilitator caseload documentation.
- KDADS contacts MCO with final approval within 3 business days.

#### **Provider Qualifications:**

- Bachelor of Arts/Bachelor of Science (BA/BS) degree in a human services related field (e.g. social work) along with three years of experience working in human services (e.g. Community Mental Health Center, or a Community Developmental Disability Organization), and completion of a state approved training program. This intensive training is equivalent to a graduate level course. The training involves didactic, case study, testing, and passable scores to obtain certification as a Positive Behavior Support Facilitator.
- Only persons who have successfully completed the Kansas Institute for Positive Behavioral Supports (KIPBS) training system and are currently recognized by that system as approved for reimbursement can make application to KDADS for an approved prior authorization.

#### **Limitations/Restrictions:**

- Provider type-specialty 11-239 (Mental Health-Positive Behavior Support) is the only provider type allowed for reimbursement of these services.
- Place of service cannot be that of a school;
- PBS services cannot be billed simultaneously with any other service;
- PBS facilitator cannot provide any other Medicaid-reimbursable service for their assigned PBS consumer.
- PBS providers are required to have a signed referral form from a physician or licensed practitioner on file prior to submitting a plan of care.

### Coding

- H2027
- H2027 U3
- 90882 U3

### Discussion/General Information

**State PBS Policies:** Three Positive Behavior Supports (PBS) services were created for beneficiaries who qualify for Kan Be Healthy. These services are:

**PBS Environmental Assessment:** An assessment of environmental events, antecedents, and consequences that are associated with or maintain the behaviors of interest, including physiological responses. This service should be billed as H2027. A unit is equal to 15 minutes and up to 30 hours are covered per year.

**PBS Treatment:** Procedures that include environmental manipulation of one or more of the following: antecedent events, setting events, consequent events, and teaching new skills. This service should be billed as H2027 (U3). A unit is equal to 15 minutes and up to 60 hours are covered per year.

**PBS Person-Centered Planning:** The use of person-centered planning approaches that integrate a person's desired quality of life, taking into account barriers to achievement. This service should be billed as 90882 (U3). 15 minutes is equal to one unit, and up to 40 hours are covered per year.

#### Processing and Documentation Considerations:

- If the KDADS State Program Manager approves an application, it is faxed to the appropriate fiscal agent contact person for action. KDADS will also send notification to the PBS facilitator to forward a copy of their Notice of Action on Prior Authorization document to the appropriate parties.
- All approved applications constitute an agreement on the part of the service provider to deliver all PBS services in a comprehensive and integrated fashion. For example, person-centered planning, assessment, and intervention should not be separated whenever possible to specialized personnel.
- Typically, the delivery of services will be limited to one billing cycle per beneficiary (the allowable hours of assessment, treatment, and person-centered planning that can be used during a one-year billing cycle).
- There may be occasions when a case is determined to be so severe that a subsequent year of service is required. If this occurs, an exception may be considered.
- If the limitation of allowable hours of assessment, treatment, and person-centered planning has not been used during the first year of service, the remaining allotment of billable hours cannot be carried over into the second year as part of any new prior authorized service for an exception. All services approved by the prior authorization system as part of an exception will constitute a new service arrangement for a beneficiary with specific limitations and conditions.
- Service providers maintain internal documentation systems that comply with all necessary regulations and laws pertaining to confidentiality and privacy protection. For all PBS services, documentation for billing should be in quarter of an hour increments. The PBS service provider must maintain a record of the individuals to whom he or she provides services that shows:
  - Name of the individual receiving the service
  - Date the service was provided

- Name of the provider agency
- Name of the individual providing the service
- Location at which the service was provided
- Type of PBS treatment provided
- Amount of time it was provided to the nearest quarter hour

**Definitions**

**Positive Behavior Support (PBS):** a set of systems, tools and processes for organizing the social, educational, biomedical, physical, and logistical supports needed to help individuals across the lifespan achieve a higher quality of life while reducing problem behaviors that may prevent positive outcomes for these individuals.

**Kan Be Healthy:** Program which provides preventive health care and immediate remedial care for the prevention, correction, or early control of abnormal conditions. It is available to beneficiaries who are 20 years of age or under. This program is referred to as Early and Periodic Screening, Diagnosis and Treatment program (EPSDT) at the federal level.

**References**

1. Kansas Medicaid State Plan Attachment 3.1-A, #4b, Revised submission 2/03/2015
2. Kansas State Policies E2015-022, PC532, PC525

**Websites for Additional Information**

1. <http://www.kdads.ks.gov/provider-home/providers/positive-behavior-support>
2. <http://kipbs.org/index.html>

**History**

Status	Date	Action
Revised	5/24/2018	MOC Approval

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